**INSPECTOR GENERAL**
*United States Marine Corps*

AUGUST 4, 2015

★ HONOR ★ COURAGE ★ COMMITMENT ★

PRESENTED BY: [illegible], ACTING INSPECTOR GENERAL

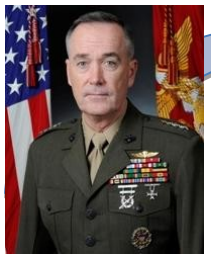
# STATE OF THE INSPECTOR GENERAL PROGRAM

# Inspector General of the Marine Corps (IGMC)

## Agenda

- The IG Role/Mission
- Organization
- Unique USMC role
- Oversight Strategy/Leadership Guidance
- Trends and Indicators
- IG Training and Professionalism
- Question

# 10 U.S.C.§ 5042



General Joseph F. Dunford, Jr.
Commandant, USMC

**USC TITLE 10**

**Subtitle C**

**United States Marine Corps**
**Part I**
**Chapter 506, 5042**

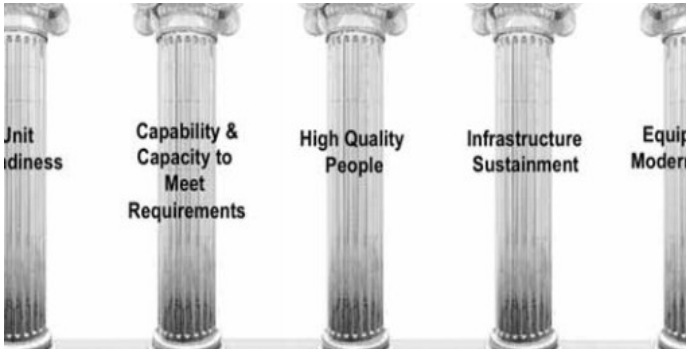
Organize, Train, and Equip Marine forces for combat operations…ready when the nation is least ready

**Inspector General**

**Assess Marine Corps compliance of regulations throughout the fleet_**

- Assistance
- Investigations
- Readiness
- Intelligence Oversight
- Inspections
- Teach and Train

Five Pillars of Institutional Readiness



# Mission Statement

***Independent, free from command influence, pressure, or fear***

**The IGMC will promote Marine Corps institutional & combat readiness, integrity, effectiveness, discipline, and credibility through impartial and independent inspections, assessments, inquiries, investigations, teaching, and training. This ensures maintenance of the highest levels of war fighting and mission capabilities throughout the Marine Corps.**

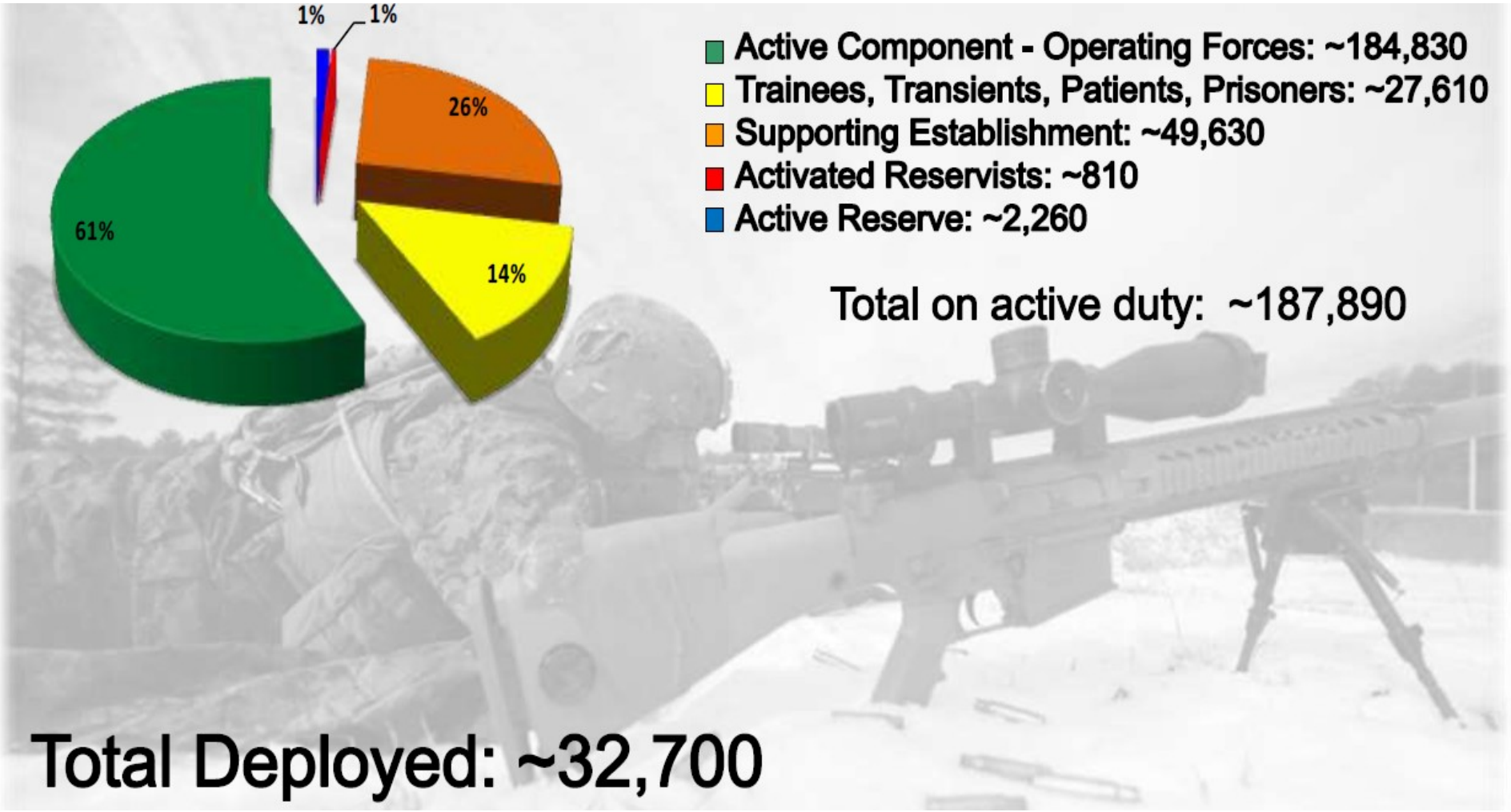
# Deputy Naval Inspector Gener

# Marine Corps Matters

# Global Force Disposition

## Unclassified

# Manpower Profile



# SECNAV GUIDANCE

**People, Platforms, Power, Partnerships >>>> PRESENCE**

DON Vision

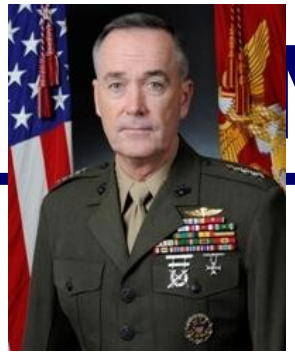
*The DON will continually improve the organization and develop cost-effective solutio pressing management problems. Optimization of our enterprise will enable the dep support naval presence today and in the future. Our transformation efforts will re effective and adaptive organization capable of overcoming any challenge.*

DON GOALS

1. Take care of our people
2. Maximize warfighter readiness
3. Lead the nation in sustainable energy
4. Promote acquisition excellence
5. Proliferate unmanned systems
6. Drive innovative enterprise tra

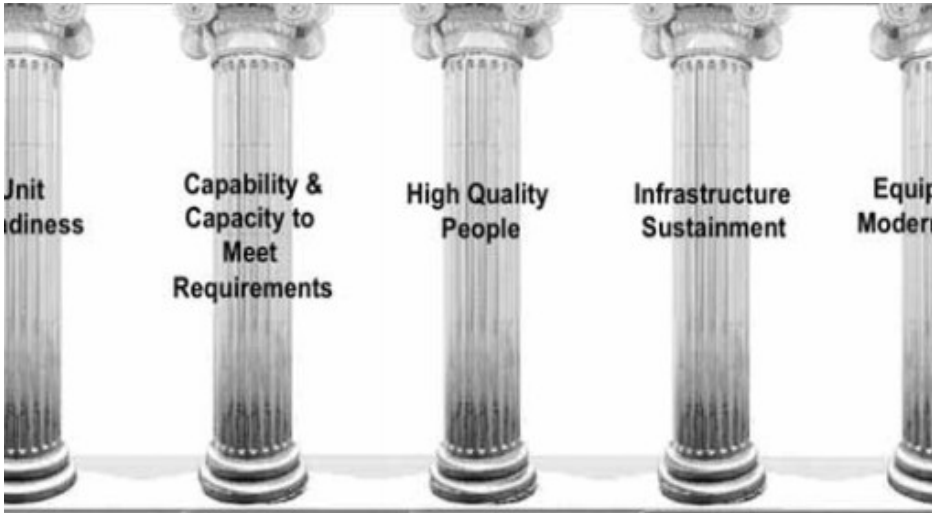
Oversight Priorities

- **Sexual Assault Prevention and Response**
- **21st Century Sailor and Marine Initiatives**
- **Acquisition Excellence & Integrity**
- **Logistics, Supply, and Maintenance Operations**
- **Contracting Excellence**
- **Intelligence, Intelligence-I Sensitive Activities**
- **Insider Threats**
- **Financial Audit Readiness Controls**
- **Energy Efficiency**
- **Staff Efficiencies and Cost**
- **Business and IT Systems**



# MC Planning Guidance

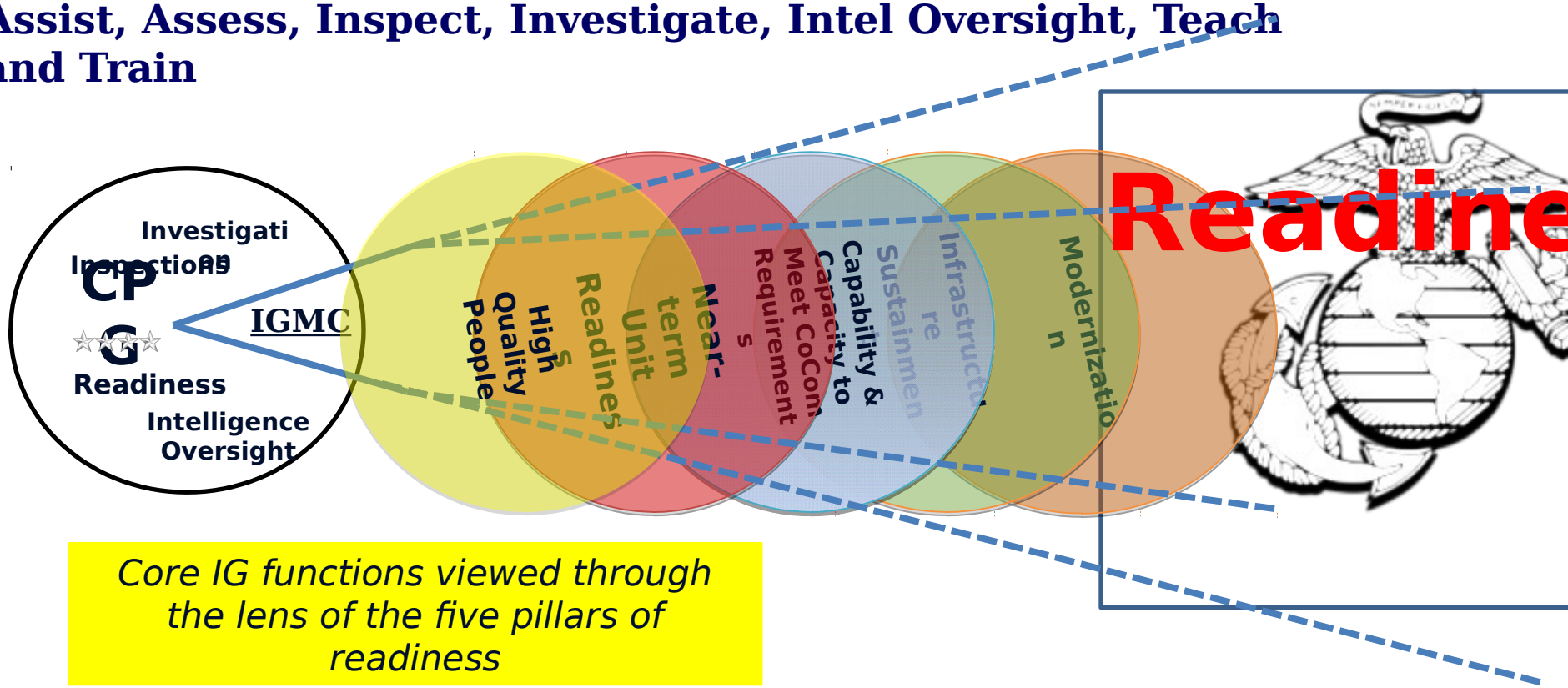
## Pillars of Institutional Readiness




- Marines are focused on combat; every Marine is a rifleman.
- Marines are ready, relevant, and forward deployed.
- Marines are innovative, adaptable, and versatile; Marines win
- Marines do what is right for the Nation.
- Marines keep their honor clean.
- Marines take care of their own.
- The Marine Corps is a naval expeditionary force.
- The Marine Corps is an integrated combined arms organizatio of complementary air, ground, and logistics components.
- The Marine Corps is a good steward of the Nation's resources

# MC Oversight Strategy Linka

**Assist, Assess, Inspect, Investigate, Intel Oversight, Teach and Train**




*Core IG functions viewed through the lens of the five pillars of readiness*

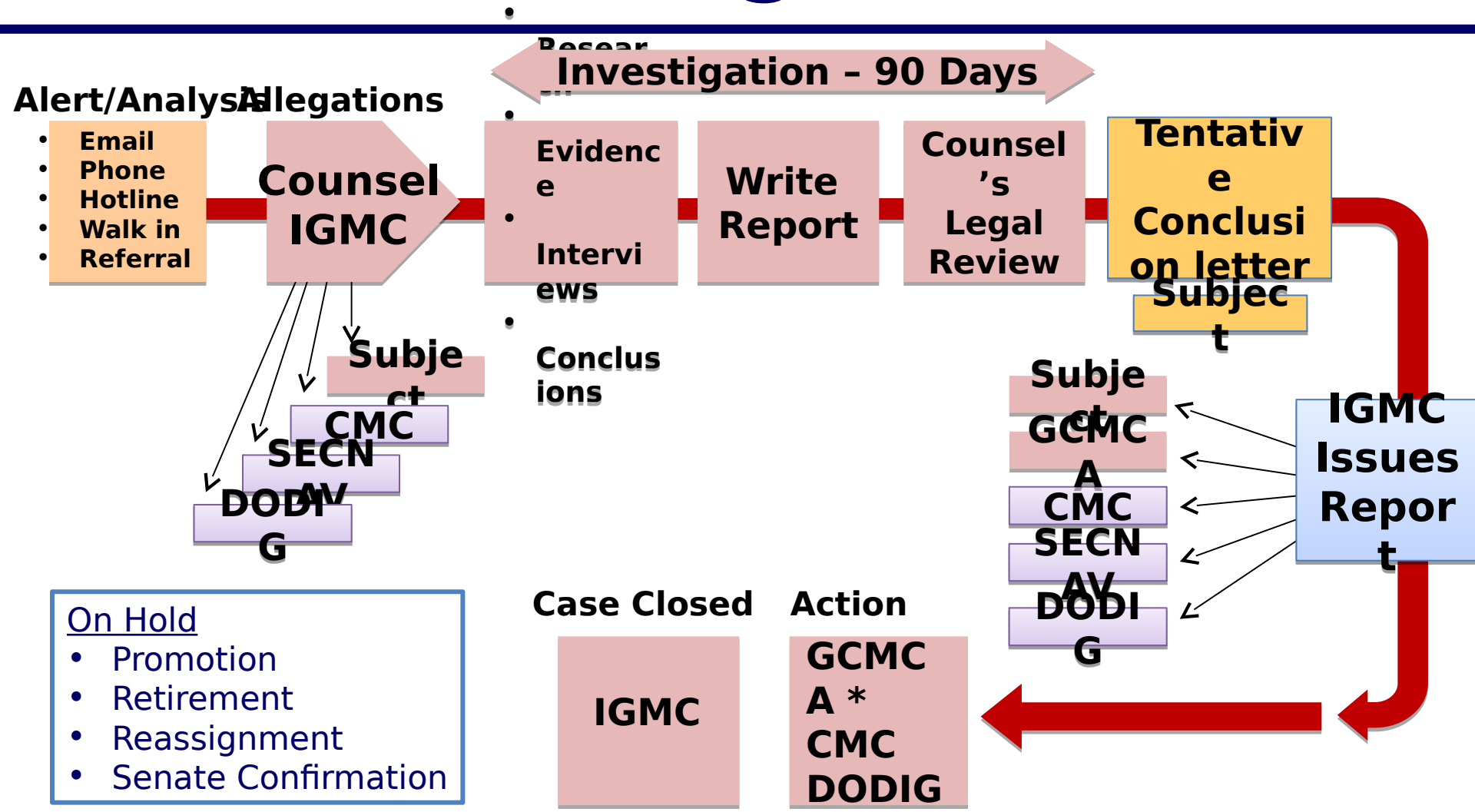
- **Inspections…promotes combat readiness through recurring, short notice inspections and serves as a policy feedback mechanism**
- **Investigations and Hotline…promotes institutional integrity, conducts inquiries, manages cases of fraud, waste, abuse, and misconduct**
- **Intelligence Oversight…monitors, provides oversight to reduce physical risk, unlawful/improper conduct, and coordinates w/Gov't agencies on intelligence, law enforcement and oversight matters**
- **Readiness…trouble shoot systemic issues across the enterprise, conducts analysis, assessments, and reports findings**

# IGMC Investigative Technique

1. The Complaint
2. Has there been a violation?
3. Is the Complainant credible?
4. Verification of the facts
5. Can an allegation be formed?
   - Who?
   - Did what?
   - In violation of?
   - When?
   - Where?
6. Notification of Subject
7. Notification of DoDIG/SecNav/CMC

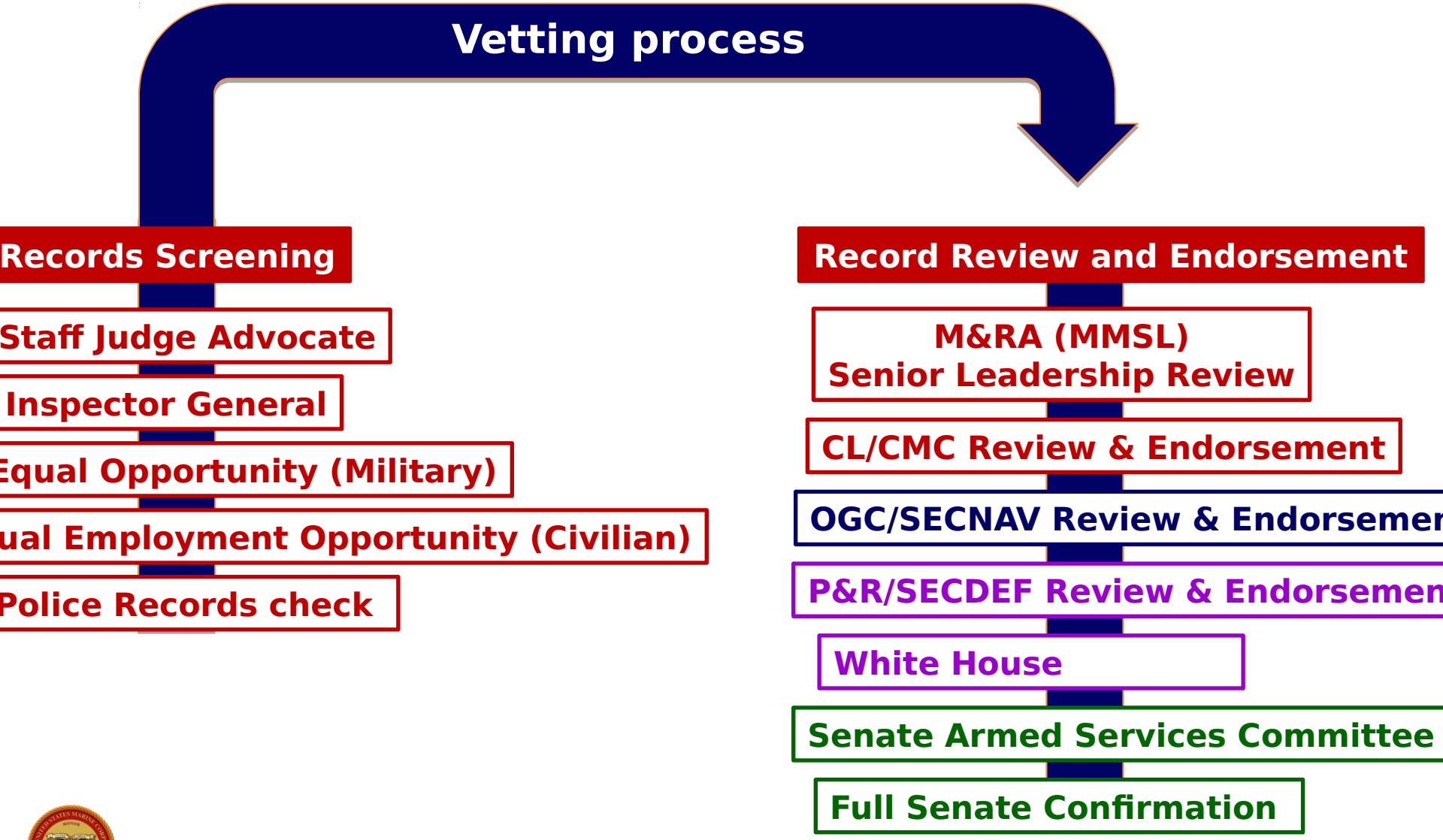
**Not all complaints are worthy of investigation**

# IGMC Investigative Process



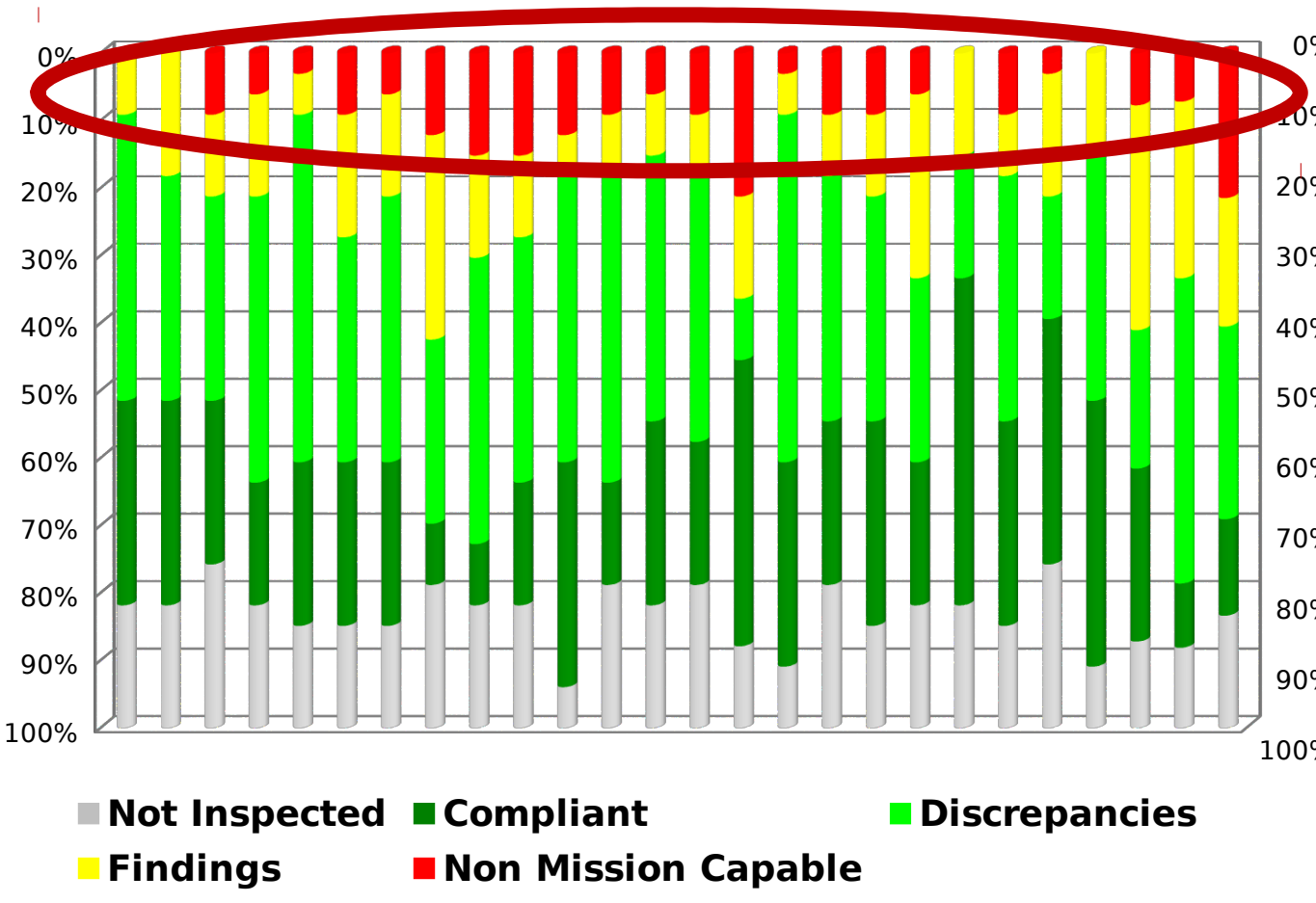

* General Court Martial Convening Authority (when applicable)

# General Officer Confirmation

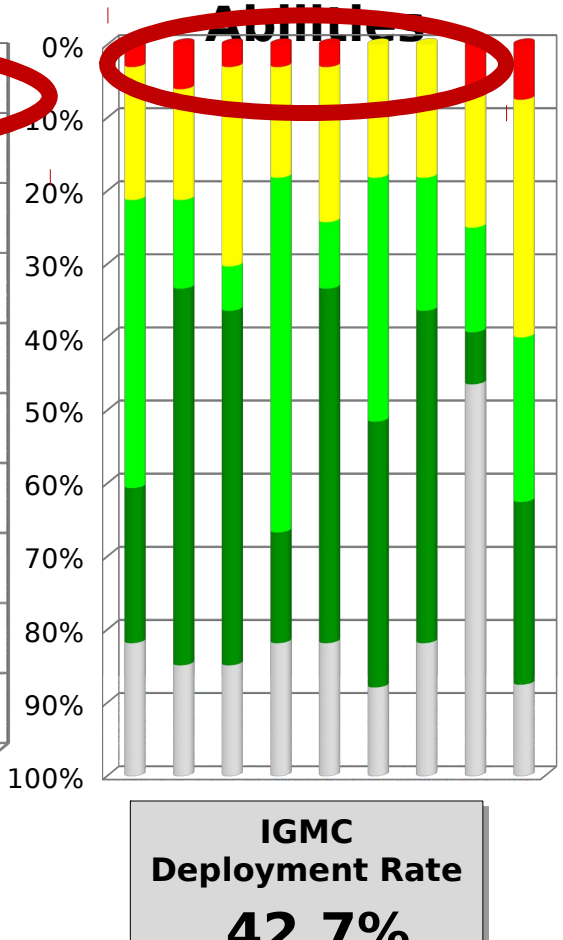


# Pervious 12 Months
# Inspection Results by Command & CIG

## Functional Area health within Commands




## CIG Inspection Abilities

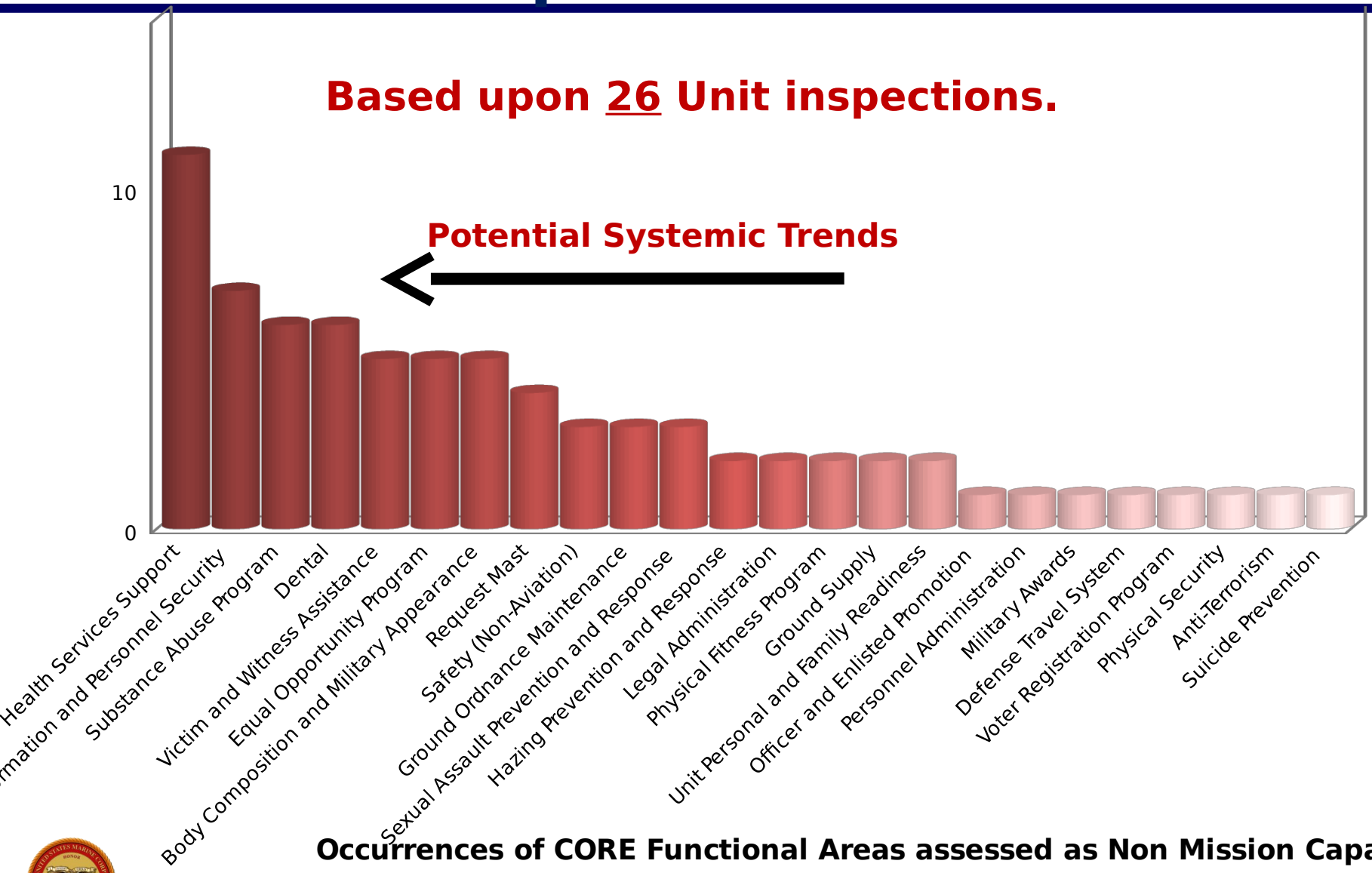



**IGMC Deployment Rate**

**42.7%**

**"PERSTEMPO"**

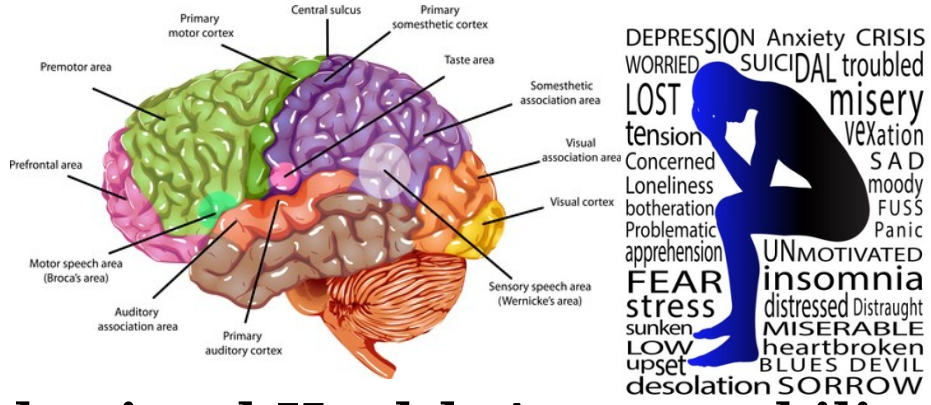
# Non Mission Capable Programs Over the previous 12 months




**Occurrences of CORE Functional Areas assessed as Non Mission Capable**

*Data as of 24 February 2015*

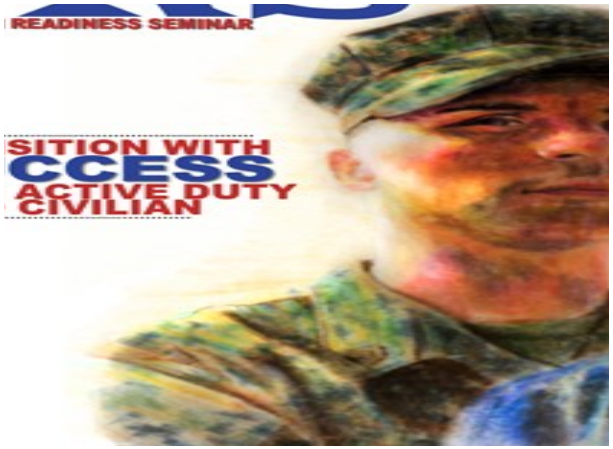
# Readiness Division
# 2014-2015 Assessments




**ehavioral Health Accountability**

**Insider Threat**

- **Sabotage**
- **Lone Shooter**
- **Espionage**




**Transition Readiness Seminar**

# SERVICE INSPECTORS GENERAL FOCUS

# Department Wide Ethics Training

# Everyone is susceptible

# Professionalism
# Getting to the “left of IG”

**How do we fix/address ethical lapses?**

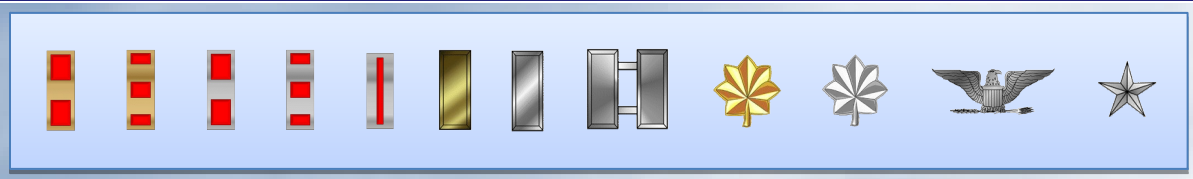
**RADM “PEG” KLEIN**
**SENIOR ADVISOR**
**MILITARY PROFESSIONALISM**

## Washington Post

**US AF Cheating Scandal**
**US AF Nukes**
**US MC Urination Incident**
**US MC Nazi Flag Incident**
**Navy Cheating Scandal**
**Navy Contracting Scandal**
**Navy Silencer Scheme**
**Senior Officials behaving badly**

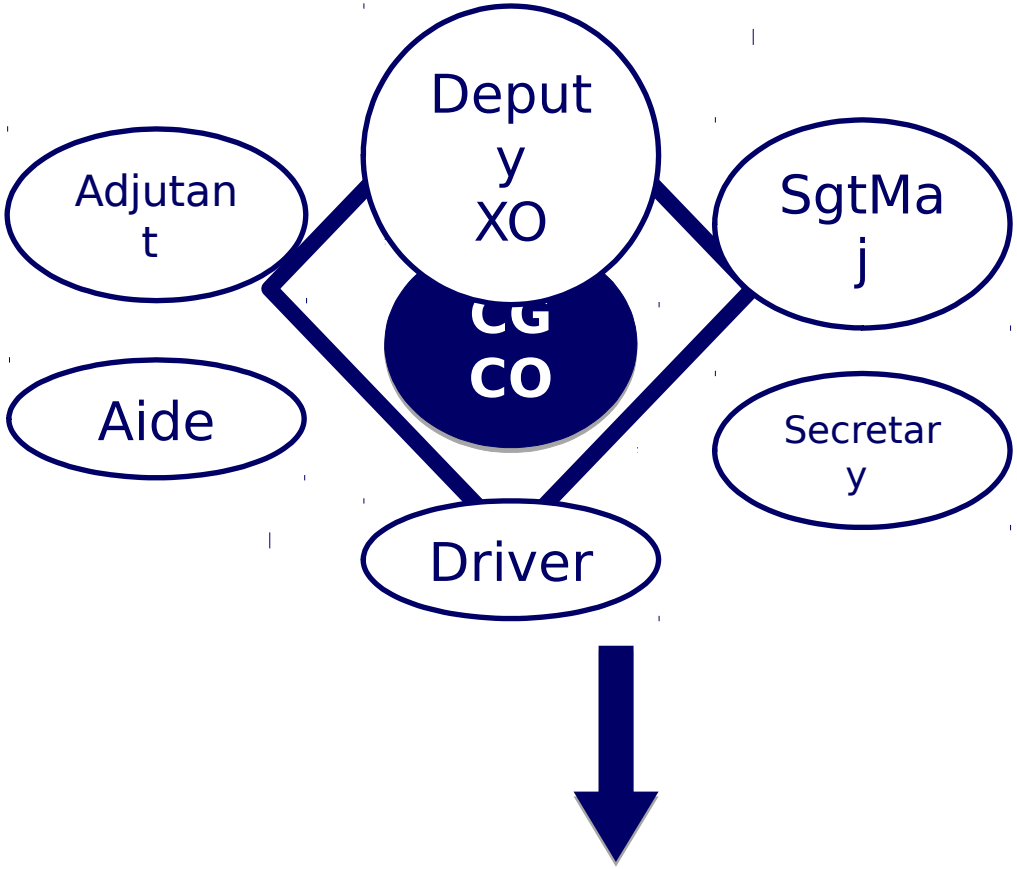
- **Patraeus**
- **Ward**

# nspector General's Ethics Outreach



**Brigadier General Officer Orientation**
**CMC Commanders' Course**
**Staff Noncommissioned Officers' Academy**
**The Basic School**
**Installation Commanders' Course**
**CMC Special Interest Brief to all inspected commands**
**IGMC Mobile Training Team**
**Noncommissioned Officers' Academy**
**Senior Leaders Legal Course**

# The Command Deck

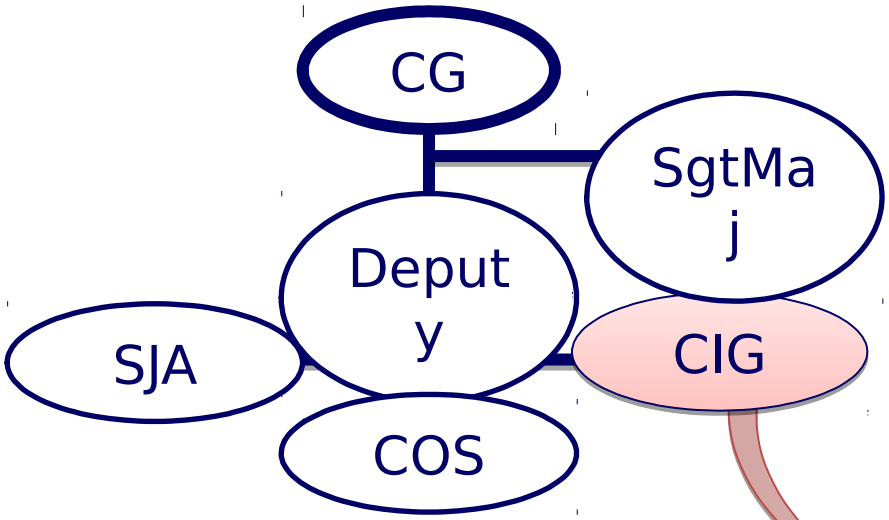



Commander's first line of defense

- Serves as eyes & ears
- Has immediate access
- Provides ground level truth
- Coordinates frequently
- Sense of command climate
- Advise on ethics
  - Unit Funds
  - Travel
  - Spouse
  - Non-Federal Entities
  - Command message
  - Equal treatment

ommonly the source of IG complaints
gainst the Commander

# Command Inspector General (CIG




## CIG Functions

### O or GS-15

- Reports to the Cmdr and Dep Cmdr
- Has unfettered access to command docs
- Runs command hotline program
- Conducts investigations
  - Fraud, Waste, Abuse, and Misconduct
- Conducts scheduled & impromptu inspections
- Provides unit investigations report status
- Provides unit inspections report status
- Maintains direct line to IGMC
- Coordinates CONGRINTS (if tasked)
- Prepares MRI reprisal complaint analysis
- Reports all GO/SES complaints to IGMC

# Sources of IGMC Legal Advice

Staff Judge Advocate to the Commandant

- Military Justice
- Operational Law
- Civil and Administrative Law
- Legal Assistance
- Rules Counsel
- Ethics Advisor *

* Shared Responsibility

Counsel for the Commandant

- Acquisition Law
- Civilian Personnel Law
- Senior Official Matters
- Fiscal Law
- Environmental Law
- Intellectual Property
- Intelligence Law
- Real and Personal Property
- Ethics Advisor *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# Professional Development
# Training and Education

# Professional Development

## Inspectors General Proficiency & Sustainment

1. Inspectors General Basic Training
2. Inspectors General Refresher Training
3. Annual IG Symposium
4. Publications review and upgrade
5. Semi-Annual Newsletter
6. Inspections/Assist Visits
7. Enhance Skills Training

# Professional Development

## Best venue for Marines
## Mobile Training Team (MTT)

- Condensed Course
- Naval-centric
- Practice Application
- 4 times a year
  - East Coast, Camp Lejeune
  - West Coast, Camp Pendleton
  - Okinawa, Camp Foster/Butler
  - Reserves, New Orleans

# Professional Development

**Basic Instruction Blocks**

| | |
|---|---|
| **Roles and Responsibilities, Ethics** | **Investigations** |
| **Inspections** | **Intelligence Oversight** |
| **IG Liaison NCIS, EEO, HR, Audit, OJAG SJA, OGC, FOIA, CONGRINTs** | **Readiness Assessments/Studies** |

**The basic required blocks of training for all USMC CIGs**

# Professional Development

## Joint IG Course
### (Joint Focus)

- **Run by DoDIG**
  - 3 Week Course
  - Investigations
  - Inspections
  - Intel Oversight
  - Assistance
- **Accredited**
- **Joint-centric**
- **MTTs**
- **DCATS - Case Tracking System**

# Professional Development

## Federal Law Enforcement Training Center

- IG Academy
- Non-criminal Investigations
- Two weeks

## Army Inspector General Course

- Army-centric
- Three weeks

## Association of Inspectors General

- Inspector General – IG Gen Admin
- Inspector General – Auditor
- Inspector General – Investigator
- One week

# Professional Development

CIGIE
TRAINING INSTITUTE

*om Caulfield, Executive Director*
717 H Street, NW, Suite 825
/ashington, DC 20006-3900
om.Caulfield@cigie.gov

## udit, Inspection & Evaluation Academy (AI&EA)

- AI&EA Training Programs
- Printable FY 2015 Schedule
- Printable AI&EA Brochure
- Register

## nspector General Criminal Investigator Academy (IGCIA)

- IGCIA Training Programs
- Printable FY 2015 Schedule
- Printable IGCIA Brochure
- Register

## eadership and Mission Support Academy (L&MSA)

- L&MSA Training Programs
- Printable FY 2015 Schedule
- Printable L&MSA Brochure
- Printable L&MSA Leadership Model
- Register

**Training Institute**

- Training Institute
- Audit, Inspection & Evaluation Academy
  - AI&EA Training Programs
  - Printable FY 2015 Schedule
  - Printable AI&EA Brochure
- Inspector General Criminal Investigator Academy
  - IGCIA Training Programs
  - Printable FY 2015 Schedule
  - Printable IGCIA Brochure
- Leadership & Mission Support Academy
  - L&MSA Training Programs
  - Printable FY 2015 Schedule
  - Printable L&MSA Brochure
  - Printable L&MSA Leadership Model
- FOIA

Council of the
INSPECTORS GENERAL
on INTEGRITY and EFFICIENCY

# INSPECTOR GENERAL SYMPOSIUM